

Patent PD-201062 Customer No. 020991

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Ernest C. Chen

Serial No.: 10/693,135

Filed: October 24, 2003

For: LAYERED MODULATION FOR

TERRESTRIAL ATSC

APPLICATIONS

2231/3-1450, on the date below:

mail in an envelope addressed to:

Georgann S. Grunebach

Typed or printed name of person signing certificate

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Mail Stop Amendment, Commissioner for

Patents, P. O. Box 1450, Alexandria, VA

Group Art Unit:

2611

Examiner:

BAYARD, Emmanuel

Date: June 1, 2007

SUPPLEMENTAL DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 (c)

Mail Stop Amendment Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

The references cited on the enclosed form PTO/SB/08A may be relevant or material to the examination of the above-identified patent application and are, therefore, submitted in compliance with the duty of disclosure defined in 37 C.F.R. §1.56.

The references were cited in a European Search Report dated April 27, 2007 in the European counterpart application of related U.S. patent application serial number 10/532,631 filed April 25, 2005.

This statement should be considered because it is submitted after the mailing date of a first Office action on-the-merits, but before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application.

No fee is due as this statement is submitted as certified below under 37 C.F.R. §1.97(e)(1) or (2) by the undersigned.

Certification Under 37 C.F.R. §1.97(e)

Each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement (certification under 37 C.F.R. § 1.97 (e)(1)).

This Information Disclosure Statement is not to be construed as a representation that a search has recently been made, that additional information material to the examination of this application does not exist, or that this citation constitutes prior art.

Respectfully submitted,

By:

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June 1, 2007

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Substitute for 18t/m 1449/PTO	

Sheet 1 of 1

Substitute for paint 1449/1 10		Complete if Known		
NEORWATION DISCLOSURE	Application Number	10/693,135		
STATEMENT BY APPLICANT (Use as many sheets as necessary)	Filing Date	October 24, 2003		
	First Named Inventor	Ernest C. Chen		
	Art Unit	2611		
	Examiner Name	BAYARD, Emmanuel		

Attorney Docket Number

PD-201062

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	Document Number Number-Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where	T
		Country Code-Number-Kind Code	MM-DD-YYYY		Relevant Passages or Relevant Figures Appear	
		EP 0115218	08-1984	Mitsubishi Petrochemical		
		WO 99/23718	05-1999	Motorola Inc.		
		EP 1011245	06-2000	Matushita Electric		· · ·
		WO 01/39456	05-2001	Thomson Licensing		

Examiner	Date	
Signature	Cons	sidered

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.